



Patent Application S/N 09/773,256

Attorney Docket No P-2170

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Patent Application of: Brownell, et al.

Serial No. 09/773,256

Art Unit: 2131

Filed: January 30, 2001

Examiner: Unknown

For: **SECURITY MECHANISM FOR COMPUTER PROCESSING MODULES**

Box: Missing Parts

Hon. Assistant Commissioner for Patents
Washington, D.C. 20231

I, JAMES D. IVEY, certify that this paper or fee and the listed enclosed papers, and return postcard are being deposited with the U.S. Postal Service with sufficient postage as First Class Mail addressed to Box: Missing Parts, Honorable Assistant Commissioner for Patents, Washington, D.C. 20231 on August 2, 2001.

August 2, 2001

Dear Sirs:


James D. Ivey, Reg. No. 37,016

RESPONSE TO NOTICE TO FILE MISSING PARTS -- FILING DATE GRANTED

The following papers are submitted in response to the Notice to File Missing Parts --
Filing Date Granted mailed on May 2, 2001.

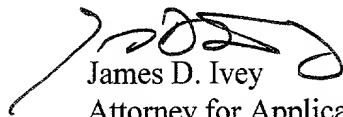
1. A copy of the Notice to File Missing Parts supplied by the Patent Office (2 pages);
2. Petition for Extension of Time with certification of mailing by First Class Mail (1 page);
3. Abstract on a separate sheet (1 page);
4. Eleven (11) sheets of Formal Drawings;
5. Signed Declaration for Patent Application - executed in counterpart (3 pages each -- total 9 pages);
6. Assignment of the invention to: Liquid Audio, Inc. (1 page);
7. Assignment Recordation Coversheet (1 page);
8. Information Disclosure Statement with certification of mailing by First Class Mail

(2 pages);

9. Information Disclosure Statement form — list of documents (1 page);
10. Five (5) disclosed documents;
11. Request for Corrected Filing Receipt with certification of mailing by First Class Mail (1 page);
12. Copy of Form PTO 1082 (1 page);
13. Copy of Express Mail Corporate Account Statement (1 page); and
14. Form PTO-2038 Credit Card Payment Form (1 page).

Applicants respectfully submit that the referenced application is now in a condition for examination. Any questions or comments with respect to the referenced application or with respect to this paper should be directed to the undersigned by telephone at (510) 336-1100.

Respectfully submitted,


James D. Ivey
Attorney for Applicant
Reg. No. 37,016

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/773,256	01/31/2001	Jason S. Brownell	P-2170

CONFIRMATION NO. 4315

FORMALITIES LETTER



OC00000006032177

LAW OFFICES OF JAMES D. IVEY
3025 Totterdell Street
Oakland, CA 94611-1742

Date Mailed: 05/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1230.
 - \$990 for 55 total claims over 20.
 - \$240 for 3 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2070.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- Abstract must be on a separate sheet.